

# WHAT'S MORE PAINFUL? A COMPLIANCE AUDIT OR A ROOT CANAL?

## IPSWITCH SURVEY: DON'T GET DRILLED BY A COMPLIANCE AUDIT.

### Ipswitch's Compliance Survey Results Summary

Ipswitch's Compliance Survey, which polled 313 IT professionals in the U.S., highlights the difficulties IT teams and pros face when preparing for compliance audits. The survey reveals that over half of IT professionals (59 percent) admit to feeling unprepared to undergo a compliance audit, and some even feel they are facing an impending disaster. The full survey findings are listed below.

- 1. If you were to undergo a compliance audit today, how prepared would you be?**
  - Very prepared: 41%
  - Somewhat prepared: 46%
  - Not prepared: 11%
  - It would be a complete disaster: 2%
- 2. Which of the following would you consider to be the most costly part of a compliance audit?**
  - Allocation of IT resources: 52%
  - Actual dollars spent: 17%
  - Critical project delays: 18%
  - Emotional strain and stress: 13%
- 3. Which of the following would you rather do instead of participating in an audit?**
  - Undergo a root canal procedure: 18%
  - Live without electricity at home for a week: 8%
  - Eat a live jellyfish: 5%
  - Work during Christmas and New Year's: 15%
  - None of the above (I'd rather participate in the audit): 54%

### INSTEAD OF A COMPLIANCE AUDIT, IT PROS WOULD RATHER...

**18%** UNDERGO A ROOT CANAL PROCEDURE

**15%** WORK DURING THE HOLIDAYS

**8%** LIVE WITHOUT ELECTRICITY FOR A WEEK

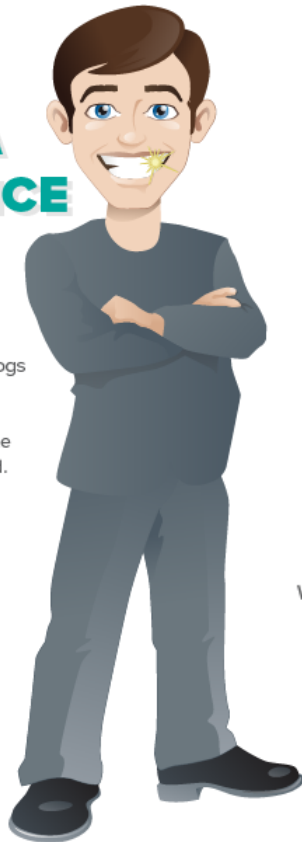
**5%** EAT A LIVE JELLYFISH



4. Which of the following security measures is the most important to you?
  - Data encryption: 18%
  - Security policies: 24%
  - Identity management: 6%
  - Data loss prevention: 34%
  - Tracking and reporting (including log management): 18%
  
5. How confident are you that people authorized to access your network are using common sense to protect your sensitive data?
  - Very confident: 25%
  - Somewhat confident: 54%
  - Not confident: 21%
  
6. What is your primary responsibility within your organization?
  - Application or Database Management: 11%
  - Executive Management : 15%
  - Project Manager or Business Analyst: 9%
  - Security or Compliance: 10%
  - Software Development or Application Programming: 8%
  - Systems or Network Administration: 46%

## HOW TO SURVIVE A COMPLIANCE AUDIT:

1. Prepare for audits with a managed file transfer solution that provides centralized audit logs and reports for file transmission.
2. Ensure the file you sent is the same as the one that is received.
3. Integrate all of your IT and security systems.
4. Never grant external users access to your trusted network.
5. Think through the entire file lifecycle and ensure personal data is protected.



## KEY FINDINGS

IT Pros said they...

**59%**

are not fully prepared to undergo a compliance audit today.

**52%**

consider the allocation of IT resources to be the costliest part of a compliance audit.

**75%**

were not completely confident that end users are taking steps to protect data.

**34%**

believe data loss prevention is a top priority.